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Existence Theorem for a Class of Nonlinear Fourth-order Schrödinger-Kirchhoff-Type Equations

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Abstract. This paper is concerned with the existence of nontrivial solutions for the following fourth-order equations of Kirchhoff type

$$\begin{cases} \Delta^2 u - \left(a + b \int_{\mathbb{R}^N} |\nabla u|^2 \mathrm{d}x \right) \Delta u + \lambda V(x) u = f(x, u), & x \in \mathbb{R}^N, \\ u \in H^2(\mathbb{R}^N), \end{cases}$$

where *a*,*b* are positive constants, $\lambda \ge 1$ is a parameter, and the nonlinearity *f* is either superlinear or sublinear at infinity in *u*. With the help of the variational methods, we obtain the existence and multiplicity results in the working spaces.

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1 Introduction

In this paper, we consider the following nonlinear Schrödinger-Kirchhoff-type problem:

$$\begin{cases} \Delta^2 u - \left(a + b \int_{\mathbb{R}^N} |\nabla u|^2 dx\right) \Delta u + \lambda V(x) u = f(x, u), & x \in \mathbb{R}^N, \\ u \in H^2(\mathbb{R}^N), \end{cases}$$
(P_{\lambda})

where Δ^2 is the biharmonic operator and ∇u denotes the spatial gradient of u. Moreover, a, b are positive constants, $\lambda \ge 1$ is a parameter, and the potential V(x) satisfies the following conditions:

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Existence for Nonlinear Schrödinger-Kirchhoff-Type Equations

- $(V_1) V(x) \in C(\mathbb{R}^N, \mathbb{R}) \text{ and } \inf_{x \in \mathbb{R}^N} V(x) \ge A > 0, \text{ for some } A;$
- (*V*₂) there exists B > 0 such that the set $\{x \in \mathbb{R}^N : V(x) \le B\}$ is nonempty and meas $\{x \in \mathbb{R}^N : V(x) \le B\} < +\infty$, where "meas" means the Lebesgue measure in \mathbb{R}^N .

Problem (P_{λ}) is called nonlocal because of the presence of the term $-(a+b\int_{\mathbb{R}^{N}}|\nabla u|^{2}dx)$, which implies that equation (P_{λ}) is no longer a point-wise indentity. In the recent years, there are many papers about Kirchhoff-type problems without the term of biharmonic operator. On the bounded domain, positive solutions are investegated by authors such as Ma and Rivera [1] and Alves et al. [2]. Sign-changing solutions have been obtained by Zhang and Perera [3] and Mao and Zhang [4]. If the problem is set on \mathbb{R}^{N} , Some interesting results can be found in [5–7] and the references there in.

It is well-known that the following fourth-order elliptic equation of Kirchhoff type:

$$\begin{cases} \Delta^2 u - \left(a + b \int_{\Omega} |\nabla u|^2 \mathrm{d}x \right) \Delta u = f(x, u), & x \in \Omega, \\ u = 0, \nabla u = 0, & \text{on } \partial\Omega, \end{cases}$$

is related to the stationary analogue of the equation of Kirchhoff type:

$$u_{tt} - \Delta^2 u - \left(a + b \int_{\Omega} |\nabla u|^2 \mathrm{d}x\right) \Delta u = f(x, u), \qquad x \in \Omega,$$

which is regarded as a good approximation for describing nonlinear vibrations of beems or plates (see [8,9]). Recently, Ma [10,11] considered the fourth-order equation

$$\begin{cases} u''' - M\left(\int_0^1 |u'(x)|^2 dx\right) u'' = h(x)f(x,u), & 0 \le x \le 1, \\ u(0) = u(1) = 0, & u''(0) = u''(1) = 0, \end{cases}$$

and obtain the multiplicity of solutions.

Later on, Wang and An [12] get the existence of nontrivial solution of a fourth-order elliptic equation

$$\begin{cases} \Delta^2 u - M\left(\int_{\Omega} |\nabla u|^2 dx\right) \Delta u = f(x, u), & x \in \Omega, \\ u = 0, & \Delta u = 0, & \text{on } \partial\Omega, \end{cases}$$
(1.1)

under some conditions on the function M(t) and f with Mountain Pass theorem. Moreover, Wang et al. also consider the existence of the nontrivial solutions for (1.1) with a parameter λ (see [13]), where M = a + bt and $b \ge 0$.

We note that problem (P_{λ}) with a = 1, b = 0, reduces fourth-order elliptic equations

$$\begin{cases} \Delta^2 u - \Delta u + \lambda V(x) u = f(x, u), & x \in \mathbb{R}^N, \\ u \in H^2(\mathbb{R}^N). \end{cases}$$
(1.2)