

## Regularized Interpolation Driven by Total Variation

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Received 26 May 2019; Accepted (in revised version) 28 October 2019

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**Abstract.** We explore minimization problems of the form

$$\text{Inf} \left\{ \int_0^1 |u'| + \sum_{i=1}^k |u(a_i) - f_i|^2 + \alpha \int_0^1 |u|^2 \right\},$$

where  $u$  is a function defined on  $(0, 1)$ ,  $(a_i)$  are  $k$  given points in  $(0, 1)$ , with  $k \geq 2$ ,  $(f_i)$  are  $k$  given real numbers, and  $\alpha \geq 0$  is a parameter taken to be 0 or 1 for simplicity. The natural functional setting is the Sobolev space  $W^{1,1}(0, 1)$ . When  $\alpha = 0$  the Inf is achieved in  $W^{1,1}(0, 1)$ . However, when  $\alpha = 1$ , minimizers need not exist in  $W^{1,1}(0, 1)$ . One is led to introduce a relaxed functional defined on the space  $BV(0, 1)$ , whose minimizers always exist and can be viewed as generalized solutions of the original ill-posed problem.

**Key Words:** Interpolation, minimization problems, functions of bounded variation, relaxed functional.

**AMS Subject Classifications:** 26B30, 49J45, 65D05

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## 1 Introduction

Given  $k$  points, with  $k \geq 2$ ,

$$0 < a_1 < a_2 < \cdots < a_k < 1, \tag{1.1}$$

and  $k$  real numbers  $f_i$ ,  $i = 1, \dots, k$ , the aim is to find a function  $u$  defined on  $(0, 1)$  such that  $u(a_i)$  approximates  $f_i$  as best as possible, and keeping at the same time some control on the regularity of  $u$ , measured here in terms of total variation of  $u$ . For this purpose define the functional

$$F(u) = \int_0^1 |u'| + \sum_{i=1}^k |u(a_i) - f_i|^2, \tag{1.2}$$

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and then minimize  $F$ . (One may also insert a fidelity parameter in front of the first integral, but we take to be 1 for simplicity). Note that  $F$  is well-defined on the Sobolev space  $W^{1,1}(0,1)$  since  $W^{1,1}(0,1) \subset C([0,1])$ , so that  $u(a_i)$  makes sense. As is well-known  $W^{1,1}(0,1)$  is not a good function space from the point of view of minimization techniques in Functional Analysis. Often, variational problems do *not* admit minimizers in  $W^{1,1}(0,1)$ . To make up for this “defect” one is usually led to enlarge  $W^{1,1}(0,1)$  and replace it by  $BV(0,1)$ , the space of functions of bounded variation (see e.g., [1,2,5]), where the existence of minimizers is often a matter of routine. The drawback is that the specific functional  $F$  is not properly defined on  $BV(0,1)$  since the term  $u(a_i)$  has no obvious meaning when  $u$  has a jump at  $a_i$ .

In Section 2 we establish that (surprisingly!) the problem

$$\text{Inf}_{u \in W^{1,1}(0,1)} F(u) \tag{1.3}$$

always admits minimizers. In fact all minimizers are classified with the help of a finite-dimensional auxiliary problem. Given

$$\lambda = (\lambda_1, \dots, \lambda_k) \in \mathbb{R}^k,$$

set

$$\Phi(\lambda) := \sum_{i=1}^{k-1} |\lambda_{i+1} - \lambda_i| + \sum_{i=1}^k |\lambda_i - f_i|^2. \tag{1.4}$$

By convexity

$$m := \min_{\lambda \in \mathbb{R}^k} \Phi(\lambda) \tag{1.5}$$

is achieved by some unique  $\lambda$  denoted

$$U = (U_1, \dots, U_k),$$

and which plays an important role throughout the paper. In this section we never invoke Functional Analysis and the space  $BV(0,1)$  is noticeably absent. The existence of minimizers in  $W^{1,1}(0,1)$  is derived from an *elementary* computation originally due to T. Sznigir [6,7]. However this “miracle” does not repeat itself: as we are going to see in Section 5 even “mild” perturbations of  $F$  need not admit minimizers in  $W^{1,1}(0,1)$ , and there it will be essential to “relax” the problem and search for minimizers in  $BV(0,1)$  using tools of Functional Analysis.

In Section 3 we introduce the relaxed functional  $F_r$  of  $F$ , which is much better suited to minimization problems involving the functional  $F$ . We start with the standard abstract formulation, namely  $F_r$  is defined for every  $v \in BV(0,1)$  by

$$F_r(v) := \text{Inf} \liminf_{n \rightarrow \infty} F(v_n), \tag{1.6}$$

where the Inf in (1.6) is taken over all sequences  $(v_n) \subset W^{1,1}(0,1)$  such that  $v_n \rightarrow v$  in  $L^2(0,1)$ . The main result, Theorem 3.1, provides an *explicit* formula for  $F_r$ . The major

obstacle stems from the fact that  $u(a)$  is not well-defined when  $u \in BV(0, 1)$ ; however,  $u$  admits at every point  $a \in (0, 1)$  limits from the left and from the right, which enter in the formula for  $F_r$ . Theorem 4.1 provides a complete description of all minimizers of  $F_r$  on  $BV(0, 1)$ . It turns out that  $F_r$  admits *many more* minimizers than the original functional  $F$ , even when  $F_r$  is restricted to  $W^{1,1}(0, 1)$ .

In Section 5 we consider a mild perturbation of  $F$ , and we show that the corresponding minimizing problems differ significantly from those associated with  $F$ . Set

$$G(u) = F(u) + \int_0^1 |u|^2 = \int_0^1 |u'| + \sum_{i=1}^k |u(a_i) - f_i|^2 + \int_0^1 |u|^2, \tag{1.7}$$

where  $u \in W^{1,1}(0, 1)$ . Our initial goal is to investigate the minimization problem

$$A = \text{Inf}_{u \in W^{1,1}} G(u). \tag{1.8}$$

As we are going to see the infimum in (1.8) need *not* be achieved and we will replace it by a relaxed problem defined on  $BV(0, 1)$  as we have done in Section 3. It is easy to check that the relaxed functional  $G_r$  of  $G$  is given by

$$G_r(v) = F_r(v) + \int_0^1 |v|^2, \quad \forall v \in BV(0, 1), \tag{1.9}$$

so that  $G_r$  is strictly convex on  $BV(0, 1)$  and it is lower semicontinuous in the sense that for every sequence  $(v_n) \subset BV(0, 1)$  such that  $v_n \rightarrow v$  in  $L^2(0, 1)$  as  $n \rightarrow \infty$ , we have

$$\liminf_{n \rightarrow \infty} G_r(v_n) \geq G_r(v).$$

Consequently

$$B = \text{Inf}_{v \in BV} G_r(v) \tag{1.10}$$

is uniquely achieved and we denote by  $\bar{v} \in BV(0, 1)$ , its unique minimizer.

The bottom line is that we have replaced Problem (1.8) which need *not* have a solution by Problem (1.10) which *always* admits a unique solution  $\bar{v}$ . Moreover, *if* Problem (1.8) admits a minimizer, it must coincide with  $\bar{v}$ . Therefore  $\bar{v}$  may be viewed as *the generalized solution* of Problem (1.8). In addition,  $\bar{v}$  has a very simple structure and can be computed via a *finite-dimensional* convex minimization problem.

## 2 The functional $F$ and its minimizers on $W^{1,1}$

The main result in this section is

**Theorem 2.1** (T. Sznigir [6, 7]). *We have*

$$m = \text{Inf}_{u \in W^{1,1}} F(u), \tag{2.1}$$

where  $m$  has been defined in (1.5), and the Inf in (2.1) is achieved. More precisely  $u \in W^{1,1}(0,1)$  is a minimizer if and only if it satisfies the following three conditions:

$$u \text{ is monotone on each interval } (a_i, a_{i+1}), \quad i = 1, \dots, k-1, \tag{2.2a}$$

$$u(a_i) = U_i, \quad i = 1, \dots, k, \tag{2.2b}$$

$$u(x) = U_1, \quad \forall x \in [0, a_1] \quad \text{and} \quad u(x) = U_k, \quad \forall x \in [a_k, 1]. \tag{2.2c}$$

*Proof.* Given  $u \in W^{1,1}(0,1)$  we have

$$\int_0^1 |u'| \geq \sum_{i=1}^{k-1} \int_{a_i}^{a_{i+1}} |u'| \geq \sum_{i=1}^{k-1} |u(a_{i+1}) - u(a_i)|, \tag{2.3}$$

with equalities if and only if:

$$u \text{ is monotone on each interval } (a_i, a_{i+1}), \tag{2.4a}$$

$$u \text{ is constant on } (0, a_1) \text{ and on } (a_k, 1). \tag{2.4b}$$

Thus

$$F(u) \geq \sum_{i=1}^{k-1} |u(a_{i+1}) - u(a_i)| + \sum_{i=1}^k |u(a_i) - f_i|^2.$$

Letting  $\lambda_i = u(a_i), i = 1, \dots, k$ , we see that, for every  $u \in W^{1,1}(0,1)$ ,

$$F(u) \geq \min_{\lambda \in \mathbb{R}^k} \Phi(\lambda) = m. \tag{2.5}$$

If  $u \in W^{1,1}(0,1)$  satisfies (2.2a)-(2.2c) we have

$$F(u) = \sum_{i=1}^{k-1} |U_{i+1} - U_i| + \sum_{i=1}^k |U_i - f_i|^2 = m,$$

so that  $u$  is a minimizer for (2.1). Conversely if  $u \in W^{1,1}(0,1)$  is such that  $F(u) = m$  then (2.4a) and (2.4b) hold. Moreover  $u(a_i) = \lambda_i$  is a minimizer in (1.5), and by uniqueness we have  $u(a_i) = U_i$  for  $i = 1, \dots, k$ . □

**Remark 2.1.** In view of the abundance of minimizers for  $F$  in  $W^{1,1}(0,1)$  one may wonder whether some of them are “preferred” e.g., in the sense that they are “stable” with respect to perturbations. The minimizer  $u_\ell$  of  $F$  which is obtained by linear interpolation (i.e.,  $u_\ell$  is linear on each interval  $(a_i, a_{i+1})$ ) is definitely a good candidate. Here are three “natural” perturbed functionals:

$$F_{1,\varepsilon}(u) = \varepsilon \int_0^1 |u'|^2 + F(u), \quad u \in H^1(0,1), \quad \varepsilon > 0,$$

$$F_{2,p}(u) = \int_0^1 |u'|^p + \sum_{i=1}^k |u(a_i) - f_i|^2, \quad u \in W^{1,p}(0,1), \quad p > 1,$$

$$F_{3,\varepsilon}(u) = \varepsilon \int_0^1 |u''|^2 + F(u), \quad u \in H^2(0,1), \quad \varepsilon > 0.$$

It is easy to see that each one admits a unique minimizer. T. Sznigir [6,7] has established that as  $\varepsilon \rightarrow 0$  (resp.  $p \searrow 1$ ) the minimizers of  $F_{1,\varepsilon}$  (resp.  $F_{2,p}$ ) converge to  $u_\ell$ . By contrast the minimizers of  $F_{3,\varepsilon}$  converge as  $\varepsilon \rightarrow 0$  to the solution  $\hat{u}$  of a variational inequality corresponding to

$$\min \left\{ \int_0^1 |u''|^2; u \in H^2(0,1) \text{ and satisfies (2.2a) – (2.2c)} \right\}.$$

The function  $\hat{u}$  belongs to  $C^1([0,1])$  (while  $u_\ell \notin C^1$ ) and  $\hat{u}$  is a piecewise cubic function on each interval  $(a_i, a_{i+1}), i = 1, \dots, k - 1$ , see [6,7].

### 3 The relaxed functional $F_r$ on $BV$

As usual the relaxed functional  $F_r$  is defined for every  $v \in BV(0,1)$  by

$$F_r(v) := \text{Inf} \liminf_{n \rightarrow \infty} F(v_n), \tag{3.1}$$

where the Inf in (3.1) is taken over all sequences  $(v_n) \subset W^{1,1}(0,1)$  such that  $v_n \rightarrow v$  in  $L^2(0,1)$  (the choice of  $L^2$  is just a matter of convenience—one can replace it by any  $L^p, 1 \leq p < \infty$ ).

The main result in this section is an *explicit* formula for  $F_r$ , but first some notation. Given  $v \in BV(0,1)$  and  $a \in (0,1)$  we denote by  $j(v)(a)$  the jump interval of  $v$  at  $a$ , i.e.,

$$j(v)(a) = [\min(v(a-0), v(a+0)), \max(v(a-0), v(a+0))]. \tag{3.2}$$

We also set

$$\varphi(t) = \begin{cases} t^2, & \text{if } 0 \leq t \leq 1, \\ 2t - 1, & \text{if } t > 1. \end{cases} \tag{3.3}$$

**Theorem 3.1.** *For every  $v \in BV(0,1)$ , we have*

$$F_r(v) = \int_0^1 |v'| + \sum_{i=1}^k \varphi(\text{dist}(f_i, j(v)(a_i))), \tag{3.4}$$

where  $\text{dist}$  denotes the distance of a point to a set.

The proof of Theorem 3.1 relies on the following three lemmas. The first two are familiar to the experts (see e.g., [4, Appendix 18.8] and [3, Lemma 2]).

**Lemma 3.1.** *Let  $(v_n)$  be a bounded sequence in  $BV(a,b)$  such that  $v_n \rightarrow v$  in  $L^1(a,b)$ ,  $v_n(a) \rightarrow \alpha, v_n(b) \rightarrow \beta$  as  $n \rightarrow \infty$ . Then  $v \in BV(a,b)$  and*

$$\liminf_{n \rightarrow \infty} \int_a^b |v'_n| \geq \int_a^b |v'| + |v(a) - \alpha| + |v(b) - \beta|, \tag{3.5}$$

where we write for simplicity  $v_n(a) = v_n(a+0)$ , etc.

*Proof.* Fix any function  $h \in C_c^\infty(\mathbb{R})$  such that  $h(a) = \alpha$  and  $h(b) = \beta$ . Consider the functions

$$w_n(t) := \begin{cases} h(t), & \text{if } t < a, \\ v_n(t), & \text{if } a \leq t \leq b, \\ h(t), & \text{if } t > b, \end{cases} \quad w(t) := \begin{cases} h(t), & \text{if } t < a, \\ v(t), & \text{if } a \leq t \leq b, \\ h(t), & \text{if } t > b. \end{cases}$$

Clearly  $w_n, w \in BV(\mathbb{R})$  and

$$\int_{\mathbb{R}} |w'_n| = \int_{-\infty}^a |h'| + \int_a^b |v'_n| + \int_b^\infty |h'| + |v_n(a) - \alpha| + |v_n(b) - \beta|, \tag{3.6a}$$

$$\int_{\mathbb{R}} |w'| = \int_{-\infty}^a |h'| + \int_a^b |v'| + \int_b^\infty |h'| + |v(0) - \alpha| + |v(b) - \beta|. \tag{3.6b}$$

Since  $w_n \rightarrow w$  in  $L^1(\mathbb{R})$  it is well-known that

$$\liminf_{n \rightarrow \infty} \int_{\mathbb{R}} |w'_n| \geq \int_{\mathbb{R}} |w'|.$$

Combining this with (3.6) yields (3.5). □

**Lemma 3.2.** *Given any  $v \in BV(a, b)$  and constants  $\alpha, \beta \in \mathbb{R}$ , there exists a sequence  $(v_n) \subset W^{1,1}(a, b)$  such that  $v_n \rightarrow v$  in  $L^2(a, b)$ ,  $v_n(a) = \alpha, v_n(b) = \beta, \forall n$ , and*

$$\lim_{n \rightarrow \infty} \int_a^b |v'_n| = \int_a^b |v'| + |v(a) - \alpha| + |v(b) - \beta|. \tag{3.7}$$

*Proof.* Set

$$w(t) := \begin{cases} \alpha, & \text{if } t < a, \\ v(t), & \text{if } a \leq t \leq b, \\ \beta, & \text{if } t > b. \end{cases}$$

Let  $w_n = \rho_n * w$  where  $(\rho_n)$  is a sequence of mollifiers. Clearly

$$\int_{\mathbb{R}} |w'_n| \leq \int_{\mathbb{R}} |w'| = \int_a^b |v'| + |v(a) - \alpha| + |v(b) - \beta|. \tag{3.8}$$

Moreover  $w_n(t) = \alpha$  if  $t < a - (1/n)$  and  $w_n(t) = \beta$  if  $t > b + (1/n)$ . Rescaling the sequence  $(w_n)$  by a change of variables we obtain a sequence  $(v_n)$  of smooth functions such that  $v_n \rightarrow v$  in  $L^2(a, b)$ ,  $v_n(a) = \alpha, v_n(b) = \beta, \forall n$ , and

$$\limsup_{n \rightarrow \infty} \int_a^b |v'_n| \leq \int_a^b |v'| + |v(a) - \alpha| + |v(b) - \beta|.$$

Applying Lemma 3.1 we conclude that (3.7) holds. □

The third lemma relies on an elementary computation left to the reader.

**Lemma 3.3.** *Given any  $\alpha, \beta, f \in \mathbb{R}$  we have*

$$\inf_{t \in \mathbb{R}} \{ |t - \alpha| + |t - \beta| + |t - f|^2 \} = |\alpha - \beta| + \varphi(\text{dist}(f, J)), \tag{3.9}$$

where  $J = [\min(\alpha, \beta), \max(\alpha, \beta)]$  and  $\varphi$  has been defined in (3.1).

*Proof of Theorem 3.1.* It consists of two steps.

**Step 1.** Given any  $v \in BV(0, 1)$  there exists a sequence  $(v_n) \subset W^{1,1}(0, 1)$  such that  $v_n \rightarrow v$  in  $L^2(0, 1)$  and

$$\lim_{n \rightarrow \infty} F(v_n) = F_r(v),$$

where  $F_r(v)$  is defined by (3.4).

*Proof.* Applying Lemma 3.3 with  $\alpha = v(a_i - 0)$ ,  $\beta = v(a_i + 0)$ , and  $f = f_i$ ,  $1 \leq i \leq k$ , we obtain some  $t_i$  (a minimizer in (3.9)) such that

$$\begin{aligned} & |t_i - v(a_i - 0)| + |t_i - v(a_i + 0)| + |t_i - f_i|^2 \\ & = |v(a_i - 0) - v(a_i + 0)| + \varphi(\text{dist}(f_i, j(v)(a_i))). \end{aligned} \tag{3.10}$$

We next apply Lemma 3.2 successively on

$$(0, a_1), \quad (a_i, a_{i+1}), \quad 1 \leq i \leq k - 1, \quad \text{and} \quad (a_k, 1).$$

First on  $(0, a_1)$  with  $\alpha = v(0+)$  and  $\beta = t_1$ . This yields a sequence  $(v_n) \subset W^{1,1}(0, a_1)$  such that  $v_n(0) = v(0+)$ ,  $v_n(a_1) = t_1$ ,  $\forall n$ ,  $v_n \rightarrow v$  in  $L^2(0, a_1)$ , and

$$\int_0^{a_1} |v'_n| = \int_0^{a_1} |v'| + |v(a_1 - 0) - t_1| + o(1). \tag{3.11}$$

Next on  $(a_i, a_{i+1})$ ,  $1 \leq i \leq k - 1$ , with  $\alpha = t_i$  and  $\beta = t_{i+1}$ ; this yields a sequence  $(v_n) \subset W^{1,1}(a_i, a_{i+1})$  such that  $v_n(a_i) = t_i$ ,  $v_n(a_{i+1}) = t_{i+1}$ ,  $v_n \rightarrow v$  in  $L^2(a_i, a_{i+1})$ , and

$$\int_{a_i}^{a_{i+1}} |v'_n| = \int_{a_i}^{a_{i+1}} |v'| + |v(a_i + 0) - t_i| + |v(a_{i+1} - 0) - t_{i+1}| + o(1). \tag{3.12}$$

Finally on  $(a_k, 1)$  with  $\alpha = t_k$  and  $\beta = v(1-)$ ; this yields a sequence  $(v_n) \subset W^{1,1}(a_k, 1)$  such that  $v_n(a_k) = t_k$ ,  $v_n(1) = v(1-)$ ,  $v_n \rightarrow v$  in  $L^2(a_k, 1)$ , and

$$\int_{a_k}^1 |v'_n| = \int_{a_k}^1 |v'| + |v(a_k + 0) - t_k| + o(1). \tag{3.13}$$

Glueing these functions we obtain a sequence  $(v_n) \subset W^{1,1}(0, 1)$  such that  $v_n \rightarrow v$  in  $L^2(0, 1)$ , and

$$\int_0^1 |v'_n| = \sum_{i=0}^k \int_{a_i}^{a_{i+1}} |v'| + \sum_{i=1}^k (|v(a_i - 0) - t_i| + |v(a_i + 0) - t_i|) + o(1), \tag{3.14}$$

with the convention that  $a_0 = 0$  and  $a_{k+1} = 1$ .

Inserting (3.10) into (3.14) we see that

$$\int_0^1 |v'_n| = \int_0^1 |v'| - \sum_{i=1}^k |t_i - f_i|^2 + \sum_{i=1}^k \varphi(\text{dist}(f_i, j(v)(a_i))) + o(1). \quad (3.15)$$

Since  $v_n(a_i) = t_i, \forall n, \forall i$ , we conclude that

$$F(v_n) = \int_0^1 |v'_n| + \sum_{i=1}^k |v_n(a_i) - f_i|^2 = F_r(v) + o(1), \quad (3.16)$$

which completes the proof of Step 1.

**Step 2.** Let  $(v_n)$  be a bounded sequence in  $W^{1,1}(0,1)$  such that  $v_n \rightarrow v$  in  $L^1(0,1)$ . Then  $v \in BV(0,1)$  and

$$\liminf_{n \rightarrow \infty} F(v_n) \geq F_r(v). \quad (3.17)$$

*Proof.* Passing to a subsequence we may always assume that, for every  $i = 0, 1, \dots, k+1$ , there exists some  $\ell_i$  such that

$$v_n(a_i) \rightarrow \ell_i \quad \text{as } n \rightarrow \infty.$$

From Lemma 3.1 we know that for every  $i = 0, 1, \dots, k$ ,

$$\int_{a_i}^{a_{i+1}} |v'_n| \geq \int_{a_i}^{a_{i+1}} |v'| + |v(a_i + 0) - \ell_i| + |v(a_{i+1} - 0) - \ell_{i+1}| + o(1).$$

Adding these inequalities yields

$$F(v_n) \geq \sum_{i=0}^k \int_{a_i}^{a_{i+1}} |v'| + \sum_{i=1}^k (|v(a_i + 0) - \ell_i| + |v(a_i - 0) - \ell_i| + |\ell_i - f_i|^2) + o(1).$$

Applying Lemma 3.3 we find that

$$\begin{aligned} F(v_n) &\geq \sum_{i=0}^k \int_{a_i}^{a_{i+1}} |v'| + \sum_{i=1}^k |v(a_i + 0) - v(a_i - 0)| + \sum_{i=1}^k \varphi(\text{dist}(f_i, j(v)(a_i))) + o(1) \\ &= F_r(v) + o(1), \end{aligned}$$

which completes the proof of Step 2, and thereby the proof of Theorem 3.1.  $\square$

## 4 Some properties of $F_r$

We discuss in this section some properties of  $F_r$ . First a few straightforward facts. We have

$$F_r(v) \leq F(v), \quad \forall v \in W^{1,1}(0,1), \quad (4.1)$$

indeed it suffices to choose  $v_n = v, \forall n$  in (3.1). It may happen that  $F_r(v) < F(v)$  for some  $v$ 's in  $W^{1,1}(0,1)$ . In fact

$$[F_r(v) = F(v) \quad \text{for some } v \in W^{1,1}(0,1)] \Leftrightarrow [|v(a_i) - f_i| \leq 1, \quad \forall i = 1, \dots, k], \quad (4.2)$$

this is an immediate consequence of (1.2), (3.4) and (3.3).

**Lemma 4.1.** *The functional  $F_r$  is convex on  $BV(0,1)$  and it is lower semicontinuous in the sense that for every sequence  $(v_n) \subset BV(0,1)$  such that  $v_n \rightarrow v$  in  $L^2(0,1)$  as  $n \rightarrow \infty$ , we have*

$$\liminf_{n \rightarrow \infty} F_r(v_n) \geq F_r(v). \quad (4.3)$$

*Proof.* Given  $v, w \in BV(0,1)$  there exist (by Step 1 above) sequences  $(v_n), (w_n) \subset W^{1,1}(0,1)$  such that  $v_n \rightarrow v, w_n \rightarrow w$  in  $L^2(0,1)$  and  $F(v_n) \rightarrow F_r(v), F(w_n) \rightarrow F_r(w)$ . By convexity of  $F$  we have

$$F(tv_n + (1-t)w_n) \leq tF(v_n) + (1-t)F(w_n), \quad \forall t \in [0,1]. \quad (4.4)$$

Passing to the limit in (4.4) and using Step 2 we see that

$$F_r(tv + (1-t)w) \leq tF_r(v) + (1-t)F_r(w).$$

Next, the proof of (4.3). By Step 1 applied to  $v_n$  with  $n$  fixed we may find some  $w_n \in W^{1,1}(0,1)$  such that

$$\|v_n - w_n\|_{L^2} < \frac{1}{n} \quad \text{and} \quad |F_r(v_n) - F_r(w_n)| < \frac{1}{n}. \quad (4.5)$$

Thus  $w_n \rightarrow v$  in  $L^2(0,1)$  and from the definition (3.1) we conclude that

$$F_r(v) \leq \liminf_{n \rightarrow \infty} F_r(w_n) = \liminf_{n \rightarrow \infty} F_r(v_n) \quad \text{by (4.5).}$$

Thus, we complete the proof. □

We now discuss the minimization of  $F_r$  on  $BV(0,1)$ . Recall (see Theorem 2.1) that

$$m = \min_{v \in W^{1,1}} F(v), \quad (4.6)$$

where  $m$  is defined by (1.5). Set

$$\mu := \inf_{v \in BV} F_r(v). \quad (4.7)$$

From Lemma 4.1 and the compactness of the embedding  $BV(0,1) \subset L^2(0,1)$  we deduce that the Inf in (4.7) is achieved. Clearly, by (4.1),

$$\mu = \inf_{v \in BV} F_r(v) \leq \inf_{v \in W^{1,1}} F_r(v) \leq \inf_{v \in W^{1,1}} F(v) = m. \quad (4.8)$$

We claim that

$$\mu = m. \tag{4.9}$$

Indeed, by (4.6) we have

$$m \leq F(v), \quad \forall v \in W^{1,1}(0,1). \tag{4.10}$$

From Step 1 above and (4.10) we deduce that

$$m \leq F_r(v), \quad \forall v \in BV(0,1), \tag{4.11}$$

and thus

$$m \leq \inf_{v \in BV} F_r(v) = \mu.$$

Combined with (4.8) this yields (4.9).

As a consequence, any minimizer for  $F$  on  $W^{1,1}(0,1)$  must be a minimizer for  $F_r$  on  $BV(0,1)$ . Indeed if  $F(u) = m$ , then  $\mu \leq F_r(u) \leq F(u) = m = \mu$  so that  $F_r(u) = \mu$ .

The next result provides a complete description of all minimizers of  $F_r$  on  $BV(0,1)$ .

**Theorem 4.1.** *Assume that  $u \in BV(0,1)$  satisfies the following three conditions:*

$$\left\{ \begin{array}{l} u \text{ is monotone nondecreasing (resp. nonincreasing)} \\ \text{on each interval } (a_i, a_{i+1}), i = 1, \dots, k-1, \\ \text{such that } U_i \leq U_{i+1} \text{ (resp. } U_{i+1} \leq U_i), \end{array} \right. \tag{4.12a}$$

$$\left\{ \begin{array}{l} U_i \leq u(a_i + 0) \text{ and } u(a_{i+1} - 0) \leq U_{i+1} \text{ if} \\ U_i \leq U_{i+1} \text{ (resp. reverse inequalities if } U_{i+1} \leq U_i), \end{array} \right. \tag{4.12b}$$

$$u(x) = U_1, \quad \forall x \in [0, a_1] \quad \text{and} \quad u(x) = U_k, \quad \forall x \in [a_k, 1], \tag{4.12c}$$

then  $u$  is a minimizer of  $F_r$  on  $BV(0,1)$ . And conversely.

**Remark 4.1.** We deduce from Theorem 4.1 that the relaxed functional  $F_r$  admits *many more* minimizers than the original functional  $F$ , even when  $F_r$  is restricted to  $W^{1,1}(0,1)$ , since they are not bound by the rigid constraint  $u(a_i) = U_i, \forall i$ .

The proof relies on the following monotone version of Lemma 3.2.

**Lemma 4.2.** *Given any nondecreasing function  $v$  on  $(a, b)$  and constants  $\alpha \leq v(a), \beta \geq v(b)$ , there exists a sequence of nondecreasing functions  $(v_n) \subset W^{1,1}(a, b)$  such that  $v_n \rightarrow v$  in  $L^2(a, b), v_n(a) = \alpha, v_n(b) = \beta \quad \forall n$ , and*

$$\lim_{n \rightarrow \infty} \int_b^a |v'_n| = \int_a^b |v'| + |v(a) - \alpha| + |v(b) - \beta|. \tag{4.13}$$

The proof of Lemma 4.2 which is similar to the proof of Lemma 3.2 is left to the reader. We now turn to the

*Proof of Theorem 4.1.* Applying Lemma 4.2 on the interval  $(a_i, a_{i+1})$  with  $\alpha = U_i$  and  $\beta = U_{i+1}$  we obtain a sequence  $(v_n)$  of monotone functions in  $W^{1,1}(a_i, a_{i+1})$  such that  $v_n \rightarrow u$  in  $L^2(a_i, a_{i+1})$ ,

$$v_n(a_i) = U_i \quad \text{and} \quad v_n(a_{i+1}) = U_{i+1}, \quad \forall n, \tag{4.14a}$$

$$\lim_{n \rightarrow \infty} \int_{a_i}^{a_{i+1}} |v'_n| = \int_{a_i}^{a_{i+1}} |u'| + |u(a_i + 0) - U_i| + |u(a_{i+1} - 0) - U_{i+1}|. \tag{4.14b}$$

Next we set

$$v_n(x) = U_1, \quad \forall x \in [0, a_1] \quad \text{and} \quad v_n(x) = U_k, \quad \forall x \in [a_k, 1]. \tag{4.15}$$

Glueing the functions  $v_n$  defined above we obtain a function still denoted  $v_n \in W^{1,1}(0, 1)$ , satisfying all the requirements of Theorem 2.1. Thus  $v_n$  is a minimizer for  $F$  in  $W^{1,1}(0, 1)$  so that

$$F(v_n) = m, \quad \forall n. \tag{4.16}$$

Since  $v_n \rightarrow u$  in  $L^2(0, 1)$ , we deduce (from the definition (3.1) of  $F_r$ ) that

$$F_r(u) \leq \lim_{n \rightarrow \infty} F(v_n) = m.$$

(Note that the full strength of Lemma 4.1 was not used). Invoking (4.9) we conclude that  $u$  is a minimizer for  $F_r$ .

We now turn to the converse. Assume that  $u$  is a minimizer for  $F_r$  on  $BV(0, 1)$ . Let  $t_i, i = 1, \dots, k$ , be the unique minimizer in (3.9) corresponding to  $\alpha = u(a_i - 0), \beta = u(a_i + 0)$  and  $f = f_i$ , so that

$$\begin{aligned} & |t_i - u(a_i - 0)| + |t_i - u(a_i + 0)| + |t_i - f_i|^2 \\ &= |u(a_i - 0) - u(a_i + 0)| + \varphi(\text{dist}(f_i, j(u)(a_i))). \end{aligned} \tag{4.17}$$

Next write, for  $1 \leq i \leq k - 1$ ,

$$t_i - t_{i+1} = (t_i - u(a_i + 0)) + (u(a_i + 0) - u(a_{i+1} - 0)) + (u(a_{i+1} - 0) - t_{i+1}), \tag{4.18}$$

so that

$$|t_i - t_{i+1}| \leq |t_i - u(a_i + 0)| + |u(a_i + 0) - u(a_{i+1} - 0)| + |u(a_{i+1} - 0) - t_{i+1}|. \tag{4.19}$$

We now compute, as in (1.4),

$$\Phi(\bar{t}) = \sum_{i=1}^{k-1} |t_i - t_{i+1}| + \sum_{i=1}^k |t_i - f_i|^2,$$

where  $\bar{t}$  is defined by  $\bar{t} := (t_1, \dots, t_k)$ . From (4.19) and (4.17) we have when  $k \geq 3$  (if  $k = 2$  go directly to (4.20))

$$\begin{aligned}
& \sum_{i=1}^{k-1} |t_i - t_{i+1}| \\
& \leq \sum_{i=1}^{k-1} |t_i - u(a_i + 0)| + \sum_{i=2}^k |t_i - u(a_i - 0)| + \sum_{i=1}^{k-1} |u(a_i + 0) - u(a_{i+1} - 0)| \\
& = |t_1 - u(a_1 + 0)| + |t_k - u(a_k - 0)| + \sum_{i=2}^{k-1} (|t_i - u(a_i - 0)| + |t_i - u(a_i + 0)|) \\
& \quad + \sum_{i=1}^{k-1} |u(a_i + 0) - u(a_{i+1} - 0)| \\
& = |t_1 - u(a_1 + 0)| + |t_k - u(a_k - 0)| + \sum_{i=2}^{k-1} |u(a_i + 0) - u(a_i - 0)| \\
& \quad + \sum_{i=2}^{k-1} \varphi(\text{dist}(f_i, j(u)(a_i))) - \sum_{i=2}^{k-1} |t_i - f_i|^2 + \sum_{i=1}^{k-1} |u(a_i + 0) - u(a_{i+1} - 0)|.
\end{aligned}$$

Therefore,

$$\begin{aligned}
\Phi(\bar{t}) &= \sum_{i=1}^{k-1} |t_i - t_{i+1}| + \sum_{i=1}^k |t_i - f_i|^2 \\
&\leq |t_1 - u(a_1 + 0)| + |t_k - u(a_k - 0)| + |t_1 - f_1|^2 + |t_k - f_k|^2 + \sum_{i=2}^{k-1} |u(a_i + 0) \\
&\quad - u(a_i - 0)| + \sum_{i=1}^{k-1} |u(a_i + 0) - u(a_{i+1} - 0)| + \sum_{i=2}^{k-1} \varphi(\text{dist}(f_i, j(u)(a_i))) \\
&\leq |t_1 - u(a_1 + 0)| + |t_k - u(a_k - 0)| + |t_1 - f_1|^2 + |t_k - f_k|^2 \\
&\quad + \sum_{i=1}^{k-1} \int_{a_i}^{a_{i+1}} |u'| + \sum_{i=2}^{k-1} |u(a_i + 0) - u(a_i - 0)| + \sum_{i=2}^{k-1} \varphi(\text{dist}(f_i, j(u)(a_i))) \\
&= |t_1 - u(a_1 + 0)| + |t_k - u(a_k - 0)| + |t_1 - f_1|^2 + |t_k - f_k|^2 \\
&\quad + \int_0^1 |u'| - \int_0^{a_1} |u'| - \int_{a_k}^1 |u'| - |u(a_1 + 0) - u(a_1 - 0)| \\
&\quad - |u(a_k + 0) - u(a_k - 0)| + \sum_{i=2}^{k-1} \varphi(\text{dist}(f_i, j(u)(a_i))).
\end{aligned}$$

Since  $u$  is a minimizer for  $F_r$ , we know by (4.7) and (4.9) that

$$F_r(u) = \int_0^1 |u'| + \sum_{i=1}^k \varphi(\text{dist}(f_i, j(u)(a_i))) = m,$$

so that

$$\begin{aligned} \Phi(\bar{t}) \leq & |t_1 - u(a_1 + 0)| + |t_k - u(a_k - 0)| + |t_1 - f_1|^2 + |t_k - f_k|^2 \\ & + m - \varphi(\text{dist}(f_1, j(u)(a_1))) - \varphi(\text{dist}(f_k, j(u)(a_k))) - \int_0^{a_1} |u'| \\ & - \int_{a_k}^1 |u'| - |u(a_1 + 0) - u(a_1 - 0)| - |u(a_k + 0) - u(a_k - 0)|. \end{aligned} \tag{4.20}$$

Finally we use (4.17) for  $i = 1$  and  $i = k$ , and deduce from (4.20) that

$$\Phi(\bar{t}) \leq -|t_1 - u(a_1 - 0)| - |t_k - u(a_k + 0)| - \int_0^{a_1} |u'| - \int_{a_k}^1 |u'| + m. \tag{4.21}$$

Therefore

$$\Phi(\bar{t}) \leq m,$$

so that by (1.5),  $\bar{t} = (t_1, \dots, t_k)$  is a minimizer of  $\Phi$  on  $\mathbb{R}^k$ . By uniqueness we have

$$t_i = U_i, \quad \forall i. \tag{4.22}$$

Moreover from (4.21) we deduce that

$$|t_1 - u(a_1 - 0)| = |t_k - u(a_k + 0)| = \int_0^{a_1} |u'| = \int_0^{a_k} |u'| = 0.$$

Consequently (4.12c) holds. Returning to the above estimates we infer that all inequalities are equalities. In particular,  $\forall i = 1, \dots, k - 1$ ,

$$\int_{a_i}^{a_{i+1}} |u'| = |u(a_i + 0) - u(a_{i+1} - 0)| \tag{4.23}$$

and

$$|t_i - t_{i+1}| = |t_i - u(a_i + 0)| + |u(a_i + 0) - u(a_{i+1} - 0)| + |u(a_{i+1} - 0) - t_{i+1}|. \tag{4.24}$$

Equality (4.23) implies that  $u$  is monotone on the interval  $(a_i, a_{i+1})$ , while equality (4.24) yields

$$\begin{aligned} \text{sign}(t_i - t_{i+1}) &= \text{sign}(t_i - u(a_i + 0)) = \text{sign}(u(a_i + 0) - u(a_{i+1} - 0)) \\ &= \text{sign}(u(a_{i+1} - 0) - t_{i+1}). \end{aligned}$$

In view of (4.22) we conclude easily that  $u$  satisfies (4.12a) - (4.12b). □

**Remark 4.2.** Theorem 4.1 is stated in T. Sznigir [6] though the proof in [6] is somewhat obscure.

**Remark 4.3.** We have

$$|U_i - f_i| \leq 1, \quad \forall i = 1, \dots, k. \quad (4.25)$$

Indeed consider the piecewise linear function  $u_\ell$  defined in Remark 2.1. Then  $u_\ell$  satisfies

$$m = F(u_\ell) = \mu = F_r(u_\ell).$$

In view of (4.2) this implies (4.25). Inequality (4.25) could also be deduced directly from the fact that  $U = (U_1, \dots, U_k)$  is a minimizer of  $\Phi$  defined in (1.4). We have, using the theory of sub-differentials,

$$0 \in 2(U_i - f_i) + \text{Sign}(U_i - U_{i-1}) + \text{Sign}(U_i - U_{i+1}), \quad \forall i = 2, \dots, k-1, \quad (4.26)$$

where Sign denotes as usual the monotone graph defined by

$$\text{Sign}(s) := \begin{cases} +1, & \text{if } s > 0, \\ [-1, +1], & \text{if } s = 0, \\ -1, & \text{if } s < 0. \end{cases}$$

This implies (4.25). On the other hand, we have

$$0 \in 2(U_1 - f_1) + \text{Sign}(U_1 - U_2),$$

and

$$0 \in 2(U_k - f_k) + \text{Sign}(U_k - U_{k-1}),$$

which imply in fact that

$$|U_1 - f_1| \leq \frac{1}{2} \text{ and } |U_k - f_k| \leq \frac{1}{2}.$$

## 5 Where a mild pertubation can produce a big difference

In this section we consider a mild pertubation of the original functional  $F$  defined by (1.2) and we show that the corresponding minimizing problems differ significantly from those associated with  $F$ .

Set

$$G(u) = F(u) + \int_0^1 |u|^2 = \int_0^1 |u'| + \sum_{i=1}^k |u(a_i) - f_i|^2 + \int_0^1 |u|^2, \quad (5.1)$$

where  $u \in W^{1,1}(0,1)$ . Our initial goal is to investigate the minimization problem

$$A = \text{Inf}_{u \in W^{1,1}} G(u). \quad (5.2)$$

It turns out that the infimum in (5.2) need *not* be achieved (see [6, 7] and Remark 5.2) and we will replace it by a relaxed problem defined on  $BV(0, 1)$  as we have done in Section 3. For every  $v \in BV(0, 1)$  set

$$G_r(v) = \operatorname{Inf} \liminf_{n \rightarrow \infty} G(v_n), \quad (5.3)$$

where the Inf in (5.3) is taken over all sequences  $(v_n) \subset W^{1,1}(0, 1)$  such that  $v_n \rightarrow v$  in  $L^2(0, 1)$ . It is easy to check that

$$G_r(v) = F_r(v) + \int_0^1 |v|^2, \quad \forall v \in BV(0, 1), \quad (5.4)$$

so that  $G_r$  is strictly convex on  $BV(0, 1)$  and it is lower semicontinuous in the sense that for every sequence  $(v_n) \subset BV(0, 1)$  such that  $v_n \rightarrow v$  in  $L^2(0, 1)$  as  $n \rightarrow \infty$ , we have

$$\liminf_{n \rightarrow \infty} G_r(v_n) \geq G_r(v).$$

Consequently

$$B = \operatorname{Inf}_{v \in BV} G_r(v) \quad (5.5)$$

is uniquely achieved, and we denote by  $\bar{v} \in BV(0, 1)$  its unique minimizer, i.e.,

$$B = G_r(\bar{v}). \quad (5.6)$$

We claim that

$$A = B. \quad (5.7)$$

From (4.1), we deduce that  $G_r \leq G$  on  $W^{1,1}(0, 1)$ , and thus

$$B = \operatorname{Inf}_{v \in BV} G_r(v) \leq \operatorname{Inf}_{v \in W^{1,1}} G_r(v) \leq \operatorname{Inf}_{v \in W^{1,1}} G(v) = A. \quad (5.8)$$

On the other hand we have by (5.2)

$$A \leq G(u) = F(u) + \int_0^1 |u|^2, \quad \forall u \in W^{1,1}(0, 1). \quad (5.9)$$

From (5.9) and Step 1 in Section 3 we deduce that

$$A \leq F_r(v) + \int_0^1 |v|^2 = G_r(v), \quad \forall v \in BV(0, 1), \quad (5.10)$$

and thus

$$A \leq \operatorname{Inf}_{v \in BV} G_r(v) = B. \quad (5.11)$$

Combining (5.11) with (5.8) yields  $A = B$ .

As a consequence, if Problem (5.2) admits a minimizer  $v_0 \in W^{1,1}(0,1)$ , then

$$B \leq G_r(v_0) \leq G(v_0) = A,$$

so that, by (5.7),  $G_r(v_0) = B$ , i.e.,  $v_0$  is a minimizer for Problem (5.5). By uniqueness  $v_0 = \bar{v}$ .

The bottom line is that we have replaced Problem (5.2) which need *not* have a solution by Problem (5.5) which *always* admits a unique solution  $\bar{v}$ . Therefore  $\bar{v}$  may be viewed as *the generalized solution* of Problem (5.2).

**Remark 5.1.** This concept of generalized solution is quite robust. In particular if  $(u_n) \subset W^{1,1}(0,1)$  is a minimizing sequence for (5.2), then  $u_n \rightarrow \bar{v}$  as  $n \rightarrow \infty$  in  $L^2(0,1)$ . Indeed, we have

$$G_r(u_n) \leq G(u_n) \leq A + o(1),$$

and a subsequence  $(u_{n_k})$  converges in  $L^2(0,1)$  to some  $\bar{u} \in BV(0,1)$  satisfying

$$B \leq G_r(\bar{u}) = A,$$

so that  $G_r(\bar{u}) = B$  and by uniqueness  $\bar{u} = \bar{v}$ . Similarly, if we consider as in Remark 2.1,

$$\begin{aligned} G_{1,\varepsilon}(u) &= F_{1,\varepsilon}(u) + \int_0^1 |u|^2, & G_{2,p}(u) &= F_{2,p}(u) + \int_0^1 |u|^2, \\ G_{3,\varepsilon}(u) &= F_{3,\varepsilon}(u) + \int_0^1 |u|^2, \end{aligned}$$

their unique minimizers also converge in  $L^2(0,1)$  to  $\bar{v}$ . This is an easy consequence of the fact that  $C^\infty([0,1])$  is dense in  $W^{1,1}(0,1)$ .

It turns out that the minimizer  $\bar{v}$  of (5.5) has a remarkable property:

**Theorem 5.1.** *The minimizer  $\bar{v}$  of (5.5) is a constant  $K_i$  on each interval  $(a_i, a_{i+1})$ ,  $i = 0, 1, \dots, k$  with the convention that  $a_0 = 0$  and  $a_{k+1} = 1$ .*

Moreover

$$|K_i| \leq 1/|a_{i+1} - a_i|, \quad \forall i = 0, 1, \dots, k. \quad (5.12)$$

The main ingredient in the proof of Theorem 5.1 is the following result taken from [3, Theorem 3] with roots in [6, Theorem 3.16].

**Lemma 5.1.** *Fix  $\alpha, \beta, L \in \mathbb{R}$  and consider the minimization problem*

$$X = \text{Inf} \left\{ \int_0^L |u'| + \int_0^L |u|^2; u \in BV(0,L), u(0) = \alpha \text{ and } u(L) = \beta \right\}. \quad (5.13)$$

*A minimizer exists if and only if*

$$\alpha = \beta \quad \text{with} \quad |\alpha| = |\beta| \leq 1/L, \quad (5.14)$$

*and in this case the unique minimizer in (5.13) is the constant function  $\alpha = \beta$ .*

*Proof.* For the convenience of the reader we review briefly the argument from [3]. Set

$$H(u) = \int_0^L |u'| + \int_0^L |u|^2, \quad u \in W^{1,1}(0, L), \tag{5.15a}$$

$$u(0) = \alpha, \quad u(L) = \beta, \tag{5.15b}$$

and for  $v \in BV(0, L)$ ,

$$H_r(v) = \text{Inf} \liminf_{n \rightarrow \infty} H(v_n), \tag{5.16}$$

where the Inf in (5.16) is taken over all sequences  $(v_n) \subset W^{1,1}(0, L)$  such that  $v_n \rightarrow v$  in  $L^2(0, L)$ ,  $v_n(0) = \alpha$  and  $v_n(L) = \beta$ .

From Lemmas 3.1 and 3.2 we know that

$$H_r(v) = \int_0^L |v'| + \int_0^L |v|^2 + |v(0) - \alpha| + |v(L) - \beta|, \quad \forall v \in BV(0, L). \tag{5.17}$$

Moreover,

$$X = \min_{v \in BV} H_r(v). \tag{5.18}$$

Problem (5.13) usually admits *no* minimizer, while Problem (5.18) *always* admits a unique minimizer denoted  $V \in BV(0, L)$ . If (by chance!) Problem (5.13) admits a minimizer  $U \in BV(0, L)$ , then  $U = V$ . On the other hand, if we happen to know that the minimizer  $V$  of (5.18) satisfies  $V(0) = \alpha$  and  $V(L) = \beta$  then  $V$  is a minimizer for (5.13).

To summarize, the existence of a minimizer for (5.13) boils down to the question whether  $V$  satisfies  $V(0) = \alpha$  and  $V(L) = \beta$ . We are thus led to study the properties of  $V$ . It is convenient to distinguish two cases:

**Case 1:**  $\alpha\beta \leq 0$ . **Case 2:**  $\alpha\beta > 0$ .

In Case 1 we have  $V \equiv 0$  and we conclude that our original Problem (5.13) admits a solution only if  $\alpha = \beta = 0$ ; in this case  $U \equiv 0$  is the minimizer of (5.13).

In Case 2 we may assume, without loss of generality, that

$$0 < \alpha \leq \beta.$$

The heart of the matter is the surprising fact that  $V$  is a constant function (see the proof of Lemma 5.1 in [3]). In order to identify the constant we compute  $H_r$  given by (5.17) on the constant function  $v \equiv t$ ; this yields

$$H_r(t) = Lt^2 + |t - \alpha| + |t - \beta|.$$

An easy inspection shows that  $\min_{t \geq 0} H_r(t)$  is achieved at  $t = 1/L$  if  $\alpha > 1/L$  and at  $t = \alpha$  if  $\alpha \leq 1/L$ . □

*Proof of Theorem 5.1.* We apply Lemma 5.1 on each interval  $(a_i, a_{i+1})$  with  $L = a_{i+1} - a_i$ ,  $\alpha = \bar{v}(a_i + 0)$  and  $\beta = \bar{v}(a_{i+1} - 0)$ . Clearly  $\bar{v}$  restricted to  $(a_i, a_{i+1})$  (and shifted) is a minimizer for (5.13). Otherwise we could find a function  $w \in BV(a_i, a_{i+1})$  such that

$$\int_{a_i}^{a_{i+1}} |w'| + \int_{a_i}^{a_{i+1}} |w|^2 < \int_{a_i}^{a_{i+1}} |\bar{v}'| + \int_{a_i}^{a_{i+1}} |\bar{v}|^2,$$

$$w(a_i + 0) = \bar{v}(a_i + 0), \quad w(a_{i+1} - 0) = \bar{v}(a_{i+1} - 0).$$

Then the function  $\bar{w} \in BV(0, 1)$  defined by

$$\bar{w} := \begin{cases} w & \text{on } (a_i, a_{i+1}), \\ \bar{v} & \text{on } (0, 1) \setminus (a_i, a_{i+1}), \end{cases}$$

would satisfy  $G_r(\bar{w}) < G_r(\bar{v})$ , which is impossible since  $\bar{v}$  is a minimizer for  $G_r$  on  $BV(0, 1)$ . We deduce from Lemma 5.1 that  $\bar{v} = K_i$  on  $(a_i, a_{i+1})$ , for some constant  $K_i$  satisfying (5.12). □

As an immediate consequence of Theorem 5.1 we have now an explicit *finite-dimensional* convex minimization problem which governs Problem (5.5):

**Corollary 5.1.** *The unique minimizer  $\bar{v}$  of (5.5) is given by*

$$\bar{v} = \sum_{i=0}^k \bar{K}_i \mathbb{1}_{(a_i, a_{i+1})} \tag{5.19}$$

and the constants  $\bar{K}_i$  are obtained by minimizing

$$\Psi(K) = \sum_{i=0}^{k-1} |K_{i+1} - K_i| + \sum_{i=1}^k \varphi(\text{dist}(f_i, J_i)) + \sum_{i=0}^k K_i^2 (a_{i+1} - a_i) \tag{5.20}$$

over  $K = (K_0, \dots, K_k) \in \mathbb{R}^{k+1}$ , where  $J_i$  denotes the interval  $[\min(K_{i-1}, K_i), \max(K_{i-1}, K_i)]$ .

Finally we return to Problem (5.1) and derive some *necessary conditions* for the existence of a minimizer.

**Corollary 5.2.** *Assume that Problem (5.1) admits a minimizer  $\bar{u} \in W^{1,1}(0, 1)$ , then necessarily*

$$\bar{u} \equiv \bar{K} \equiv \frac{1}{k+1} \sum_{i=1}^k f_i. \tag{5.21}$$

Moreover we must have

$$|f_i - \bar{K}| \leq 1, \quad \forall i = 1, \dots, k, \tag{5.22a}$$

$$(a_{i+1} - a_i) |\bar{K}| \leq 1, \quad \forall i = 0, 1, \dots, k, \tag{5.22b}$$

so that in particular

$$|\bar{K}| \leq k + 1. \tag{5.23}$$

*Proof of Corollary 5.2.* Since  $\bar{u}$  is also a minimizer for (5.5) we know by Theorem 5.1 that  $\bar{u}$  is constant on each interval  $(a_i, a_{i+1})$ . On the other hand  $\bar{u} \in W^{1,1}(0, 1)$ , and thus

$$\bar{u} \equiv \bar{K} \quad \text{on } (0, 1),$$

for some constant  $\bar{K}$ . To identify  $\bar{K}$  we write that

$$G(\bar{K}) \leq G(t), \quad \forall t \in \mathbb{R},$$

i.e.,

$$\sum_{i=1}^k |\bar{K} - f_i|^2 + |\bar{K}|^2 \leq \sum_{i=1}^k |t - f_i|^2 + t^2, \quad \forall t \in \mathbb{R},$$

which implies (5.21). Next we recall that, by (5.7),

$$G(\bar{u}) = G_r(\bar{u}).$$

Going back to (5.1) and (5.4) we see that

$$F(\bar{u}) = F_r(\bar{u}),$$

which implies (5.22a) by (4.2). Finally (5.22b) comes from (5.12). □

**Remark 5.2.** In view of Corollary 5.2 it is easy to construct examples where Problem (5.2) admits no minimizer. Take for example  $k = 2$  and  $f_1, f_2$  such that  $|2f_1 - f_2| > 3$ .

## 6 Further directions of research

6.1) Try to adapt results from the previous sections to the following situations:

6.1.a) Let  $\mu$  be a probability measure on  $[0, 1]$  and let

$$F(u) = \int_0^1 |u'| + \int_0^1 |u - f|^2 d\mu, \quad u \in W^{1,1}(0, 1),$$

where  $f$  is a given (smooth) function on  $[0, 1]$ .

6.1.b) Let

$$F(u) = \int_0^1 (1 + |u'|^2)^{1/2} + \sum_{i=1}^k |u(a_i) - f_i|^2, \quad u \in W^{1,1}(0, 1),$$

where  $(a_i)$  and  $(f_i)$  are as in Section 1.

6.2) Investigate the following minimization problem:

$$\text{Inf } \left\{ \sum_{i=1}^k |u(a_i) - f_i|^2; u \in W^{1,1}(0, 1), \int_0^1 |u'| \leq A, \left( \text{resp. } \int_0^1 (|u'| + |u|^2) \leq A \right) \right\},$$

where  $A > 0$  is given.

6.3) Let  $\Gamma$  be a smooth curve in a domain  $\Omega \subset \mathbb{R}^2$  and let

$$F(u) = \int_{\Omega} |\nabla u| + \int_{\Gamma} |u - f| d\sigma, \quad u \in W^{1,1}(\Omega),$$

where  $f$  is a given (smooth) function on  $\Gamma$ . Study the minimization of  $F$ .

## Acknowledgements

I am grateful to Henri Berestycki for raising (in a personal communication, December 2012) various questions which inspired the research directions developed in this paper. Towards the end of 2015, I asked my PhD student Thomas Sznigir to investigate properties of the functional  $F$  defined by (1.2) and I surmised formula (3.4) for the relaxed functional  $F_r$ . As mentioned throughout, this paper incorporates several results from [6, 7].

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